

RECEIVED
CENTRAL FAX CENTER

HAMILTON
BROOK SMITH
REYNOLDS
Intellectual Property Law

SEP 04 2007

Hamilton, Brook, Smith & Reynolds, PC
530 Virginia Road P.O. Box 9133
Concord, MA 01742-9133

T 978 341 0036
F 978.341 0136
www.hbsr.com

CENTRAL LOCATION

FACSIMILE COVER SHEET

Examiner: Timothy M. Harbeck **Group:** 3692

Date: September 4, 2007

Client Code: 2930

Facsimile No.: 571-273-8300

From: MayLou Wakimura, Esq.

Subject: Amendment Fee Transmittal Form and Amendment

Docket No.: 2930.1000-001

Applicant: Donald Edward Johnson and Duane Allen Steward

Application No.: 09/767,031

Filing Date: January 22, 2001

Number of pages including this cover sheet: 16

Please confirm receipt of facsimile: Yes ☒ No ☐

Comments:

751196_1

Privileged and Confidential - All information transmitted hereby is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any distribution or copying of this communication is strictly prohibited. Anyone who received this communication in error is asked to notify us immediately by telephone and to destroy the original message or return it to us at the above address via first class mail.

751090_1
MLW/BJS/cb(jt)
September 4, 2007

SEP 04 2007

PATENT APPLICATION
DOCKET NO. 2930.1000-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Donald Edward Johnson and Duane Allen Steward
Application No.: 09/767,031 Group: 3692
Filed: January 22, 2001 Examiner: Timothy M. Harbeck
Confirmation No: 3319
For: SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
9-4-07 Date	Jennifer Torpey Signature
Jennifer Torpey Typed or printed name of person signing certificate	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- ☐ A Small Entity Statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

09/767,031

-2-

RECEIVED
CENTRAL FAX CENTER

SEP 04 2007

The claims fee has been calculated as shown below:

					SMALL ENTITY		OTHER THAN SMALL ENTITY			
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	34	MINUS	* 40	0	X \$ 25	\$		X 50	\$ 0	
INDEP	4	MINUS	** 9	0	X \$100	\$		X \$200	\$ 0	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+	\$180	\$	+	\$360	
					TOTAL = \$		0	TOTAL = \$		0

* not fewer than 20
** not fewer than 3

The Application Size Fee has been calculated as shown below:
(Effective for cases filed on or after December 8, 2004)

Actual Sheets (Including current amendment)	Highest No. of Sheets Paid For (At least 100)	No. of Additional Units Required (Increments of 50 sheets)	SMALL ENTITY		OTHER THAN SMALL ENTITY		Payment Sufficient for up to
			Rate	Total Amount Owed	Rate	Total Amount Owed	
			X \$125	\$[]	X \$250	\$[]	[] Sheets

Petition for Extension of Time

- ☐ Applicant hereby petitions to extend the time to respond to the [] dated [] for [] month(s) from [] to []. The appropriate fee is set forth below.
- ☐ [For action-specific language in an extension of time, select the appropriate option from the Firm Templates]

09/767,031

-3-

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [] month Extension of Time	\$	_____
<input type="checkbox"/>	Claims Fee	\$	_____
<input type="checkbox"/>	Application Size Fee	\$	_____
<input type="checkbox"/>	Other Fees:	\$	_____
		\$	_____
		\$	_____
TOTAL:		\$	_____

A check is enclosed in payment of the following fees:

<input type="checkbox"/>	Petition for a one-month Extension of Time	\$	_____
<input type="checkbox"/>	Claims Fee	\$	_____
<input type="checkbox"/>	Application Size Fee	\$	_____
<input type="checkbox"/>	Other Fees:	\$	_____
		\$	_____
		\$	_____
TOTAL:		\$	0

☒ Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary Lou Wakimura
 Mary Lou Wakimura
 Registration No.: 31,804
 Telephone (978) 341-0036
 Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 9/4/07

740923_1
MLW/BJs/cb(jt)
September 4, 2007

SEP 04 2007

PATENT APPLICATION
Attorney's Docket No. 2930.1000-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Donald Edward Johnson and Duane Allen Steward
Application No.: 09/767,031 Group: 3692
Filed: January 22, 2001 Examiner: Timothy M. Harbeck
Confirmation No: 3319
For: SYSTEM AND METHOD FOR GIVING APPRECIATED ASSETS

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
9-4-07	<i>Jennifer Torpey</i>
Date	Signature
<i>Jennifer Torpey</i>	
Typed or printed name of person signing certificate	

AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment is being filed in response to the Office Action mailed from the U.S. Patent and Trademark Office on June 1, 2007 in the above-identified application. Reconsideration and further examination are requested.

Please amend the application as follows: